NHPUC 18DEC'15Px2:05

#### Puc 2006.02 Form for Initial and Renewal Registration of Aggregators.

(a) The registration application required by Puc 2003.04(a) and Puc 2003.05(b) shall include the

#### following:

- 1. The legal name of the applicant as well as any trade name(s) under which it intends to operate I in this state;
  - a. Third Coast Energy Consultants LLC
- 2. The applicant's business address, telephone number, e-mail address and website address, as applicable;
  - a. 2245 TEXAS DRIVE SUITE 300, SUGAR LAND TX 77479
  - b. 3coastenergy.com
  - c. 832-543-1420 office
  - d. 832-543-1421 fax
- 3. The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual;
  - a. STEVE BOUCHER, PARTNER, 2245 TEXAS DRIVE SUITE 300, SUGAR LAND TX 74799
  - b. DEREK ROSALES, PARTNER, 2245 TEXAS DRIVE SUITE 300, SUGAR LAND TX 74799
- 4. The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available
  - a. Kristin Boucher, Customer Service Director, 1-866-596-8450, cs@3coastenergy.com
- 5. A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual;
- 6. Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above;
  - a. South of the White Mountain National Forest. Nashua up to Laconia, East to Portsmouth and West to Claremont
- 7. A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent; and
  - a. We are currently waiting on one of our providers (Think Energy) to be licensed in the state. They have a targeted go live of January 1 20165. We have a second (Constellation) that we are completing the new broker application process that currently does business in the state
- 8. Except as provided in 2003.04(e), payment of the required filing fee; and

Derek Rosales

Partner 11/20/2015

| State | of         | New   | Ham    | pshire |
|-------|------------|-------|--------|--------|
|       | <b>U</b> I | TAAAA | TTOOTT | POILLY |

Filed Date Filed: 11/16/2015 Business ID: 734936 William M. Gardner Secretary of State

RSA 304-C:175

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is Third Coast Energy Consultants, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is \_\_\_\_\_\_ Third Coast Energy Consultants, LLC

THIRD: It is formed under the laws of \_\_\_\_\_ Texas

FOURTH: The date of its formation is April 10, 2015

Print

1885

Reset

Use black print or type.

Fee for Form SRA: \$ 50.00

\$ 50.00

\$100.00

Filing fee:

Total fees:

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is \_\_\_\_\_ Commercial Electricity Broker

SIXTH: The name of its registered agent in New Hampshire is Mark Smith

and the street address, town/city (including zip code and post office box, if any) of its registered office is (agent's business address in New Hampshire) 668 Main St, Rindge NH 03461

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

|  |      | <br>3       |
|--|------|-------------|
| State of New Hampshire<br>Form FLLC 1 - Application for Foreign Registration FLLC 3 Page | e(s) | and a state |
|  |      |             |
| T1532205150  |      |             |

#### APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1 (Cont.)

| *Signature:                         | SAR                     |  |
|-------------------------------------|-------------------------|--|
| Print or type name:                 | Derek Rosales           |  |
| Title:                              |                         |  |
| Date signed:                        |                         |  |
| Complete address of person signing: | 2245 Texas Dr Suite 300 |  |
|                                     | Sugar Land, TX 77479    |  |

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here: drosales@3coastenergy.com

\* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, <u>DATED AND SIGNED ORIGINAL AND FORM SRA</u> to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4989. Physical location: 25 Capitol Street, 3rd Floor, Concord, NH 03301. Print ·

Reset

Form SRA – Addendum to Business Organization and Registration Forms Statement of Compliance with New Hampshire Securities Laws

| Part I – Business Identi | fication and Con | tact Information |
|--------------------------|------------------|------------------|
|--------------------------|------------------|------------------|

| DUSINESS MAINE. ITHILU CUASI ENERUV CONSULATIS, LLC | Business Name: | Third Coast Energy Consultants, LLC |  |
|---|----------------|-------------------------------------|--|
|---|----------------|-------------------------------------|--|

Business Address (include city, state, zip): 2245 Texas Dr Suite 300, Sugar Land TX 77479

Telephone Number: \_\_\_\_\_(832) 627-9703 E-mail: \_\_\_\_\_ drosales@3coastenergy.com

Contact Person: Derek Rosales

Contact Person Address (if different):

Part II – Check <u>ONE</u> of the following items in Part II. If more than one item is checked, the form will be rejected. [PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:

- 1. <u>V</u> Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets <u>ALL</u> of the following three requirements:
  - A) This business has 10 or fewer owners; and
  - B) Advertising relating to the sale of ownership interests has not been circulated; and
  - C) Sales of ownership interests if any will be completed within 60 days of the formation of this business.

- 2. \_\_\_\_ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed -
- 3. \_\_\_\_ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation \_\_\_\_\_.
- 4. \_\_\_\_ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III - Check ONE of the following items in Part III:

1. **V** This business *is not being* formed in New Hampshire.

 This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

#### Part IV - Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) <u>all</u> of the incorporators of a corporation to be formed; or 2) <u>an</u> executive officer of an existing corporation; or 3) <u>all</u> of the general partners or intended general partners of a limited partnership; or 4) <u>one or more</u> authorized members or managers of a limited liability company; or 5) <u>one or more</u> authorized partnership or foreign registered limited liability partnership.)

|               |  | C XM                          |
|---------------|--|-------------------------------|
| Name (print): | Derek Rosales                          | Signature:                    |
|               |  | Date signed:November 10, 2015 |
| Name (print): |  | Signature:                    |
|               |  | Date signed:                  |
| Name (print): | ************************************** | Signature:                    |
|               |  | Date signed:                  |



Taxable Entity Search Results Taxable Entity Search Help

## **Franchise Tax Account Status**

As of: 11/09/2015 04:09:56 PM

#### This Page is Not Sufficient for Filings with the Secretary of State

Obtain a certification for filings with the Secretary of State.

| THU                                    | RD COAST ENERGY CONSULTANTS, LLC                 |
|--|--|
| Texas Taxpayer Number                  | 32056916680                                      |
| Mailing Address                        | 4002 OAK SHADOWS CT<br>SUGAR LAND, TX 77479-2425 |
| Right to Transact<br>Business in Texas | ACTIVE   |
| State of Formation                     | TX   |
| Effective SOS Registration<br>Date     | 04/09/2015                                       |
| Texas SOS File Number                  | 0802191819                                       |
| Registered Agent Name                  | STEPHEN REED BOUCHER                             |
|  | 4002 OAK SHADOWS CT.<br>SUGAR LAND, TX 77479     |

Officers And Directors Information

| texas.gov   Statewide Search from the Texas S |                            |                        | State Library   State Link Policy | Texas Homeland Security   |
|---|----------------------------|------------------------|-----------------------------------|---------------------------|
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|   | Privacy and Security Polic | y Accessibility Policy | Link Policy   Public Information  | Act   Compact with Texans |

# State of New Hampshire Department of State

### CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that Third Coast Energy Consultants, LLC a(n) TEXAS limited liability company registered to do business in New Hampshire on November 16, 2015. I further certify that it is in good standing as far as this office is concerned, having paid the fees required by law.



In TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 10<sup>th</sup> day of December, A.D. 2015

William M. Gardner Secretary of State